

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration  
Submitted  
With Initial  
Filing

**OR**

☒ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket  
Number

7003/44

First Named Inventor

Michael Sendtner et al.

**COMPLETE IF KNOWN**

Application Number

10/550,385

International Filing  
Date

03/16/2004

Art Unit

Unassigned

Examiner Name

Unassigned

**I hereby declare that:**

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**TEST SYSTEM FOR THE DISCOVERY OF SUBSTANCES FOR THE PROMOTION OF  
NEURAXONAL GROWTH**

(Title of Invention)

The specification of which

☐ Is attached hereto  
OR

03/16/2004  
(Int'l Filing Date)

☒ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

10/550,385  
(PCT/DE2004/000577)

was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

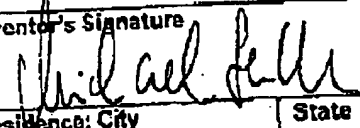
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for Continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed  Priority Claimed	Certified Copy Attached?	
				YES	NO
103 12 846.8	Germany	03/21/2003		<input type="checkbox"/>	<input checked="" type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

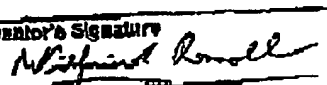
# DECLARATION - Utility or Design Patent Application

Direct all Correspondence to:	<input checked="" type="checkbox"/> The address associated with Customer Number.	27774	OR <input type="checkbox"/> Correspondence address below
Name			
Address			
City	State		Zip
Country	Telephone	Fax	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
MICHAEL		SENDTNER	
Inventor's Signature		Date	
		November 11, 2005	
Residence: City	State	Country	Citizenship
VEITSHÖCHHEIM		GERMANY	GERMANY
Mailing Address			
HELEN KELLER STRASSE 24			
City	State	Zip	Country
VEITSHÖCHHEIM		97209	GERMANY
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
WILFRIED		ROSSOLL	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
GERBRUNN		GERMANY	GERMANY
Mailing Address			
GIESHÜGELER STRASSE 46			
City	State	Zip	Country
GERBRUNN		97218	GERMANY
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the <u>1</u> supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			

NOV. 18. 2005 1:00PM

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NO. 0001 1. 1

DECLARATION - Utility or Design Patent Application			
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OR <input type="checkbox"/> Correspondence address below			
Name			
Address			
City		State	Zip
Country	Telephone		Fax
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the use so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
MICHAEL		SENDTNER	
Inventor's Signature			Date
Residence: City	State	Country	Citizenship
VEITSHÖCHHEIM		GERMANY	GERMANY
Mailing Address			
HELEN KELLER STRASSE 24			
City	State	Zip	Country
VEITSHÖCHHEIM		97208	GERMANY
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
WILFRIED		ROSSL	
Inventor's Signature			Date
			Nov. 18 <sup>th</sup> 2005
Residence: City	State	Country	Citizenship
GERBRUNN		GERMANY	GERMANY
Mailing Address			
GIESHÜGELER STRASSE 48			
City	State	Zip	Country
GERBRUNN		97218	GERMANY
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the _____ supplemental sheet(s) PTO/SBPA or OLR checked here.			

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# DECLARATION - ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 3 of 3

Name of additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
SIBYLLE		JABLONKA	
Inventor's Signature <i>J. Jablonka</i>		Date 18.11.2005	
Residence: City	State	Country	Citizenship
WÜRZBURG		GERMANY	GERMANY
Mailing Address LINDEINSTRASSE 83			
City	State	Zip	Country
WÜRZBURG		97080	GERMANY
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the United States Patent and Trademark Office to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the United States Patent and Trademark Office. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, United States Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENT, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

**POWER OF ATTORNEY  
And  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Attorney Docket Number	7003/44
First Named Inventor	Michael Sendtner et al.
Application Number	10/550,385 (PCT/DE2004/000577)
Int'l Filing Date	03/16/2004
Art Unit	Unassigned
Examiner Name	Unassigned

I hereby appoint:

☒ Practitioners associated with the Customer  
Number:

27774

☐ Practitioner(s) named below:

Name	Registration Number

As my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected herewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:  
OR

☐ The address associated with Customer Number:

OR

☐ Firm or  
individual Name

Address

City

Country

Telephone

Fax

State

Zip

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.7.1  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature

Date

28. NOV. 2004

Name

Telephone

+49/931/31-2895

Title and Company

B. Forster  
Kanzler

Julius-Maximilians Universität Würzburg

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Julius-Maximilians Universität Würzburg  
Application No.: 10/550,385 International Filing Date: March 16, 2004  
Entitled: **TEST SYSTEM FOR THE DISCOVERY OF SUBSTANCES FOR THE PROMOTION OF NEURAXONAL GROWTH**

Julius-Maximilians Universität Würzburg  
(Name of Assignee)

a German University  
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

States that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_%

In the patent application/patent identified above by virtue of either:

A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.

OR

B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded at the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded at the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded at the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., a true copy of the original assignment documents(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the United States Patent and Trademark Office. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

B. Forster

Printed or Typed Name

Der Kanzler

der Julius-Maximilians-Universität  
Würzburg

Title

Date

22. NOV. 2005

49/931/31-2855  
Telephone Number

This collection of information is required by 35 U.S.C. 116 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the United States Patent and Trademark Office to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the United States Patent and Trademark Office. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, United States Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENT, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

ASSIGNMENT

We,

1. Michael Sendtner, a citizen of Germany;
2. Wilfried Rossoll, a citizen of Germany;
3. Sibylle Jablonka, a citizen of Germany;

who reside at

1. Helen Keller Strasse 24, 97209 Veitshöchheim, Germany;
2. Gieshlgeler Strasse 46, 97218 Gerbrunn, Germany;
3. Lindleinstrasse 83, 97080 Würzburg, Germany;

have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled:

TEST SYSTEM FOR THE DISCOVERY OF SUBSTANCES FOR THE PROMOTION OF NEURAXONAL GROWTH

which application was

- ☐ executed by the inventor(s) on the date of execution of this assignment, or
- ☒ described in an application for Letters Patent of the United States, filed on March 16, 2004 (International Filing Date), and accorded Serial No. 10/550,385

and

Julius-Maximilians Universität Würzburg

whose address is:

Sanderring 2, 97070 Würzburg, Germany

and which, together with its successors and assigns is hereinafter called "Assignee", is desirous of acquiring the title, rights, benefits and privileges hereinafter recited, and of confirming the same or any part thereof heretofore acquired by Assignee.

Now, therefore, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservation:

2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;

## ASSIGNMENT



The effective date of this instrument is the latest date accompanying signature, herein below.

In testimony whereof we have affixed our signatures

① [Signature] 18.11.05  
(Witness) (Date)

② Urveen Oberoi-Lehrieder 18.11.05  
(Witness) (Date)

[Signature] 18.11.2005  
Michael SENDTNER (Date)

\_\_\_\_\_  
(Witness) (Date)

\_\_\_\_\_  
Wilfried ROSSOLL (Date)

\_\_\_\_\_  
(Witness) (Date)

① [Signature] 18.11.05  
(Witness) (Date)

② Urveen Oberoi-Lehrieder 18.11.05  
(Witness) (Date)

[Signature] 18.11.2005  
Sibylle JABLONKA (Date)

① Judita Grimm  
② Urveen Oberoi-Lehrieder

NOV. 18. 2005 11:00 AM

The effective date of this instrument is the latest date accompanying signature, herein below.

In testimony whereof we have affixed our signatures.

(Witness) (Date)

Michael SENDTNER (Date)

(Witness) (Date)

*Paula S. 11/18/05*  
(Witness) (Date)

*Wilfried Rossell Nov 18<sup>th</sup> 2005*  
Wilfried ROSSOLL (Date)

*Paula 11/18/05*  
(Witness) (Date) (16 Nov 05)

(Witness) (Date)

Sibylla JABLONKA (Date)

(Witness) (Date)

20051118

- Page 3 -

17 NOV 2005 15:21 P.00

THREE FURTHER WILLIAM FOX: 2005-11-18

5.3

+48-931-916027

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